

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

LUMINENT MORTGAGE CAPITAL, INC.;  
MINERVA MORTGAGE FINANCE  
CORPORATION; and MERCURY MORTGAGE  
FINANCE STATUTORY TRUST,

Plaintiffs,

V.

HSBC SECURITIES (USA) INC.,

Defendant.

07 Civ. 9340 (PKC)

## RULE 7.1 STATEMENT

In accordance with Federal Rule of Civil Procedure Rule 7.1, defendant HSBC Securities (USA) Inc. states that it is an indirectly wholly owned subsidiary of HSBC Holdings, plc, a publicly traded company whose shares are traded on the London and Hong Kong Stock Exchanges and are traded as American Depositary Receipts (“ADRs”) on the New York Stock Exchange.

Dated: New York, New York  
November 29, 2007

Respectfully submitted,

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By:

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HSBC Securities (USA) Inc.